

# RBC FUNDS (LUX)

*Société d'investissement à capital variable (SICAV) under Luxembourg Law*

## Subscription and Redemption Process

### Account Opening – All Sub Funds

To make an initial subscription for shares in RBC Funds (Lux) Sub-funds the following documentation must be completed, signed and submitted to the Registrar and Transfer Agent:

- Application and Account Opening Form;
- Facsimile trade instructions specifying the name of the sub-fund, share class, ISIN code of the subfund, currency and amount – see subscription order form and redemption order form available at <http://global.rbcgam.com/europe/institutional/document-library/default.fs>.

RBC Investor Services Bank S.A. has been appointed as the Registrar and Transfer Agent and will deal with the account opening and following subscriptions and redemptions.

The Application and Account Opening Form must be sent to the Registrar and Transfer Agent by post. In order to expedite the account opening process, the Application and Account Opening Form may be sent by facsimile, while the original and supporting documentation is posted.

#### **RBC Investor Services Bank S.A.**

Attn: Registration Department – Shareholder Services  
RBC Funds (Lux)  
14, Porte de France  
L4360, Esch sur Alzette  
Grand Duchy de Luxembourg  
Fax number: +352 2460 4841

Following a review of the Application & Account Opening Form, applicants must provide information requested by the Registrar and Transfer Agent and required under relevant anti-money laundering and taxation laws before the application can be processed.

Please note that depending on the information provided additional documentation may be required.

## Dealing Cycle

The cut-off time for the receipt of subscription and redemptions orders (the “**Dealing Cut-Off**”) depends on the Sub-fund.

For the following sub-funds, trade instructions received by the Transfer Agent prior to 12:00 CET on a Valuation Day (**T**) will be effected on the basis of the Net Asset Value per share prevailing that day without reference to the investor.

*RBC Funds (Lux) – Ansvarlige Globale Investeringer Fund*

*RBC Funds (Lux) – Emerging Markets Equity Fund*

*RBC Funds (Lux) – Emerging Markets Value Equity Fund*

*RBC Funds (Lux) – Emerging Markets Small Cap Equity Fund*

*RBC Funds (Lux) – Emerging Markets Equity Focus Fund*

*RBC Funds (Lux) – Emerging Markets ex China Equity Fund*

*RBC Funds (Lux) – European Equity Focus Fund*

*RBC Funds (Lux) – Global Equity Focus Fund*

*RBC Funds (Lux) – Global Equity Leaders Fund*

*RBC Funds (Lux) – Vision Global Horizon Equity Fund*

*RBC Funds (Lux) – U.S. Equity Focus Fund*

(Collectively, “**Trade Day Sub-funds**”)

For the following Sub-funds, trade instructions received by the Transfer Agent prior to 12:00 CET **on a business day before Valuation Day (T-1)** will be processed on the basis of the Net Asset Value per share prevailing on Valuation Day without reference to the investor.

*RBC Funds (Lux) – Asia Ex-Japan Equity Fund*

*RBC Funds (Lux) – Japan Ishin Fund*

*RBC Funds (Lux) – China Equity Fund*

*RBC Funds (Lux) – Growth Portfolio*

(Collectively, the “**Trade Day-1 Sub-funds**”)

With respect to either the Trade Day Sub-funds or the Trade Day-1 Sub-funds, no future or back-dated instructions will be recognized. If the trade instructions are received by the Registrar and Transfer Agent after the applicable Dealing Cut-Off, they will be deferred until the following Valuation Day.

T+1:

The Registrar and Transfer Agent will fax a contract note to the investor's fax number provided in the trade forms. Shares will be allotted by the Registrar and Transfer Agent upon receipt of notification from the Custodian that an authenticated electronic funds transfer advice has been received.

T+3: Settlement Day

For subscriptions, settlement must be made by electronic funds transfer as per wire instructions in the trade forms. Investors should ensure that any charges incurred on electronic transfers are included in the amount transferred.

## RBC Funds (Lux)

Available Share Classes as at February 23 2023

Sub-Fund Name	Currency	Share Class	Distribution Frequency	ISIN Code	Bloomberg Code
<b>The Equity Sub-Funds</b>					
RBC Funds (Lux) – Global Equity Focus Fund	USD	Class O (acc)		LU1096671539	RBCGLFO LX
RBC Funds (Lux) – Global Equity Focus Fund	USD	Class O (dist)	A	LU1532723878	RBCGLOU LX
RBC Funds (Lux) – Global Equity Focus Fund	GBP	Class O (acc) GBP		LU1938547616	RBCGEOG LX
RBC Funds (Lux) – Global Equity Focus Fund	GBP	Class O (acc) GBP (Hedged)		LU1360333014	RGFOAGH LX
RBC Funds (Lux) – Global Equity Focus Fund	EUR	Class O (acc) EUR		LU2185884926	RBGEFOE LX
RBC Funds (Lux) – Global Equity Focus Fund	NOK	Class O (acc) NOK		LU2390480056	RBGEFOU LX
RBC Funds (Lux) – Global Equity Focus Fund	NOK	Class O (acc) NOK (Hedged)		LU1711394145	RGFOANH LX
RBC Funds (Lux) – Global Equity Focus Fund	CAD	Class O (acc) CAD		LU2106828994	RBCGEOC LX
RBC Funds (Lux) – Global Equity Focus Fund	JPY	Class O (acc) JPY		LU2166388087	RBGEFOJ LX
RBC Funds (Lux) – Global Equity Focus Fund	USD	Class A (acc)		LU1096671612	RBCGLAU LX
RBC Funds (Lux) – Global Equity Focus Fund	USD	Class B (acc)		LU1096671885	RBCGLBU LX
RBC Funds (Lux) – Global Equity Focus Fund	GBP	Class B (dist) GBP	A	LU1199649978	RBCGLBG LX
RBC Funds (Lux) – Global Equity Focus Fund	GBP	Class B (dist) GBP (Hedged)	A	LU1096671968	RBCGLBH LX
RBC Funds (Lux) – Global Equity Leaders Fund	USD	Class B (acc)		LU2412723111	RBGELBU LX
RBC Funds (Lux) – Global Equity Leaders Fund	USD	Class O (acc)		LU2412723202	RBGELOA LX
RBC Funds (Lux) – Global Equity Leaders Fund	USD	Class O (dist)	A	LU2412723384	RBGELOI LX
RBC Funds (Lux) – Global Equity Leaders Fund	EUR	Class O (acc) EUR		LU2412723467	RBGELEA LX
RBC Funds (Lux) – Global Equity Leaders Fund	GBP	Class O (acc) GBP		LU2412723541	RBGEOGA LX
RBC Funds (Lux) – Vision Global Horizon Equity Fund	USD	Class O (acc)		LU1868743318	RBCVGOA LX
RBC Funds (Lux) – Vision Global Horizon Equity Fund	USD	Class O (dist)	A	LU1868743409	RBCVGH0 LX
RBC Funds (Lux) – Vision Global Horizon Equity Fund	GBP	Class O (acc) GBP		LU2049826782	RBCVGOG LX
RBC Funds (Lux) – Vision Global Horizon Equity Fund	NOK	Class O (acc) NOK (Hedged)		LU1868743581	RBCVONH LX
RBC Funds (Lux) – Vision Global Horizon Equity Fund	USD	Class A (acc)		LU1868743151	RBCVGH A LX
RBC Funds (Lux) – Vision Global Horizon Equity Fund	USD	Class B (acc)		LU1868743235	RBCVGH B LX
RBC Funds (Lux) - Ansvarlige Globale Investeringer Fund	USD	CLASS O (acc)		LU2200108210	RBAGIOU LX
RBC Funds (Lux) - Ansvarlige Globale Investeringer Fund	NOK	Class O (acc) NOK (Hedged)		LU2200108483	RBAGIOH LX
RBC Funds (Lux) – European Equity Focus Fund	EUR	Class O (acc)		LU1217268827	RBCEFOE LX
RBC Funds (Lux) – European Equity Focus Fund	GBP	Class O (acc) GBP (Hedged)		LU1708194383	REFOAGH LX
RBC Funds (Lux) – European Equity Focus Fund	GBP	Class O (acc) GBP		LU1938547962	RBCEOGB LX
RBC Funds (Lux) – U.S. Equity Focus Fund	USD	Class O (acc)		LU1868743821	RBCUEFO LX
RBC Funds (Lux) – U.S. Equity Focus Fund	USD	Class O (dist)	A	LU1916460964	RBCUEOI LX
RBC Funds (Lux) – U.S. Equity Focus Fund	USD	Class A (acc)		LU1868743664	RBCUEFA LX
RBC Funds (Lux) – U.S. Equity Focus Fund	USD	Class B (acc)		LU1868743748	RBCUEFB LX
RBC Funds (Lux) – U.S. Equity Focus Fund	USD	Class B (acc) GBP		LU2434395070	RBUEFBU LX
RBC Funds (Lux) – Asia ex–Japan Equity Fund	USD	Class O (acc)		LU1096671026	RBAXJOA LX
RBC Funds (Lux) – Asia ex–Japan Equity Fund	GBP	Class O (acc) GBP		LU2012944521	RBAXJJO LX
RBC Funds (Lux) – Asia ex–Japan Equity Fund	USD	Class A (acc)		LU1096671299	RBAXJAA LX
RBC Funds (Lux) – Asia ex–Japan Equity Fund	USD	Class B (acc)		LU1096671372	RBAXJBA LX
RBC Funds (Lux) – Japan Ishin Fund	USD	Class O (acc)		LU1868742344	RBCJPIO LX
RBC Funds (Lux) – Japan Ishin Fund	USD	Class A (acc)		LU1868742187	RBCJPIA LX
RBC Funds (Lux) – Japan Ishin Fund	USD	Class B (acc)		LU1868742260	RBCJPB LX
RBC Funds (Lux) – China Equity Fund	USD	Class O (acc)		LU1868743078	RBCCHCO LX
RBC Funds (Lux) – China Equity Fund	USD	Class A (acc)		LU1868742856	RBCCHCA LX
RBC Funds (Lux) – China Equity Fund	USD	Class B (acc)		LU1868742930	RBCCHCB LX
RBC Funds (Lux) – Emerging Markets Equity Fund	USD	Class O (acc)		LU0894190932	RBCEMEO LX
RBC Funds (Lux) – Emerging Markets Equity Fund	GBP	Class O (acc) GBP		LU1327518038	RBEMEOG LX
RBC Funds (Lux) – Emerging Markets Equity Fund	EUR	Class O (acc) EUR		LU1662744868	RBCEMOE LX
RBC Funds (Lux) – Emerging Markets Equity Fund	GBP	Class O (acc) GBP (Hedged)		LU1360332552	REMOAGH LX
RBC Funds (Lux) – Emerging Markets Equity Fund	SEK	Class O (acc) SEK		LU2367892762	RBEMEOS LX
RBC Funds (Lux) – Emerging Markets Equity Fund	USD	Class A (acc)		LU0953692513	RBCEMEA LX
RBC Funds (Lux) – Emerging Markets Equity Fund	EUR	Class A (acc) EUR (Hedged)		LU1575079311	RBEMAEH LX
RBC Funds (Lux) – Emerging Markets Equity Fund	USD	Class B (acc)		LU0953692786	RBCEMEB LX
RBC Funds (Lux) – Emerging Markets Equity Fund	EUR	Class B (acc) EUR (Hedged)		LU1257139557	RBCEMEH LX
RBC Funds (Lux) – Emerging Markets Equity Fund	USD	Class B (dist)	A	LU1998911975	RBCEEBU LX
RBC Funds (Lux) – Emerging Markets Equity Fund	GBP	Class B (dist) GBP	A	LU1199649382	RBCEMBG LX
RBC Funds (Lux) – Emerging Markets Equity Fund	GBP	Class B (dist) GBP (Hedged)	A	LU0954298310	RBCEMBH LX

## RBC Funds (Lux)

Available Share Classes as at February 23 2023

Sub-Fund Name	Currency	Share Class	Distribution Frequency	ISIN Code	Bloomberg Code
RBC Funds (Lux) – Emerging Markets Value Equity Fund	USD	Class O (acc)		LU1217269478	RBCEMVO LX
RBC Funds (Lux) – Emerging Markets Value Equity Fund	GBP	Class O (acc) GBP		LU1327514805	RBEOAGH LX
RBC Funds (Lux) – Emerging Markets Value Equity Fund	GBP	Class O (acc) GBP (Hedged)		LU1708194110	RMVOAGH LX
RBC Funds (Lux) – Emerging Markets Value Equity Fund	EUR	Class O (acc) EUR (Hedged)		LU1748250849	RMVOAEH LX
RBC Funds (Lux) – Emerging Markets Value Equity Fund	USD	Class A (acc)		LU1217269049	RBCEMVA LX
RBC Funds (Lux) – Emerging Markets Value Equity Fund	USD	Class B (acc)		LU1217269122	RBCEMVB LX
RBC Funds (Lux) – Emerging Markets Value Equity Fund	GBP	Class B (dist) GBP (Hedged)	A	LU1217269395	RBCVBGH LX
RBC Funds (Lux) – Emerging Markets Small Cap Equity Fund	USD	Class O (acc)		LU1217269809	RBCEMSO LX
RBC Funds (Lux) – Emerging Markets Small Cap Equity Fund	USD	Class A (acc)		LU1217269551	RBCEMSA LX
RBC Funds (Lux) – Emerging Markets Small Cap Equity Fund	USD	Class B (acc)		LU1217269635	RBCEMSB LX
RBC Funds (Lux) – Emerging Markets Equity Focus Fund	USD	Class O (acc)		LU1868742773	RBEMEFO LX
RBC Funds (Lux) – Emerging Markets Equity Focus Fund	USD	Class A (acc)		LU1868742427	RBEMEFA LX
RBC Funds (Lux) – Emerging Markets Equity Focus Fund	USD	Class B (acc)		LU1868742690	RBEMEFB LX
RBC Funds (Lux) – Emerging Markets ex China Equity Fund	USD	CLASS O (acc)		LU2200108723	RBCECO LX
RBC Funds (Lux) – Emerging Markets ex China Equity Fund	USD	CLASS A (acc)		LU2200108566	RBCEAU LX
RBC Funds (Lux) – Emerging Markets ex China Equity Fund	USD	CLASS B (acc)		LU2200108640	RBCECB LX
<b><u>The Allocation Sub-Funds</u></b>					
RBC Funds (Lux) – Growth Portfolio	USD	Class O (acc)		LU0820968179	RBCGROU LX
RBC Funds (Lux) – Growth Portfolio	EUR	Class O (acc) EUR (Hedged)		LU0820968252	RBCGOEH LX
RBC Funds (Lux) – Growth Portfolio	GBP	Class O (acc) GBP (Hedged)		LU0820968336	RBCGOSH LX



Dear Sirs,

RBC Investor and Treasury Services is appointed as transfer agent. In this role RBC Investor and Treasury Services handles the cash processing related to such units subscriptions and redemptions.

In this context, the attached table details the RBC Investor and Treasury Services Standard Settlement Instructions, the payments format and cut-offs. Payments have to be initiated via MT103. Payments initiated via MT202 containing all information as prescribed in the attached table SSI, will be accepted and treated as non-STP instructions.

We kindly ask you to respect these details for any payment instruction you may carry out in this context.

Non-compliance to those details will expose the payment initiator and the beneficiary to additional fees for non-STP payment, claim for late payment, delays in the processing itself of the payment and in the worst scenario to the pure return of the incoming funds.

For any additional question, please do not hesitate to contact Customer Services at +352 2605 9730 or at [CustomerServices@rbc.com](mailto:CustomerServices@rbc.com)

Yours truly,

RBC Investor and Treasury Services



## Standard Settlement Instructions

### April 2022

An updated anti-money laundering and counter-terrorism financing framework came into effect in the European Union (EU) on June 26, 2017, including the fourth [Anti-Money Laundering Directive \(AMLD4\)](#) and the revised [Wire Transfer Regulation \(WTR2\)](#).

To facilitate the timely processing of payments to RBC Investor & Treasury Services (RBC I&TS) in its capacity as Transfer Agent, please include the necessary information in your Standard Settlement Instructions effective June 26, 2017 as outlined in the table below. Incomplete information may result in processing delays or rejections of payments. Please also ensure you advise your counterparties of the requirements.

CCY	SWIFT field tag 54a	SWIFT field tag 56A	SWIFT field tag 57A	SWIFT field tag 59	SWIFT field tag 71A
CAD		BOFACATT	FETALULL	LU103416420016203900 RBC FUNDS (LUX)-COLLECTION ACC	OUR
CHF		BOFACH2X SIC 087260	FETALULL	LU793410000288390023 RBC FUNDS (LUX)-COLLECTION ACC	OUR
EUR		BOFAGB22	FETALULL	LU153411420016206000 RBC FUNDS (LUX)-COLLECTION ACC	SHA
GBP		BOFAGB22	FETALULL	LU693419420016202300 RBC FUNDS (LUX)-COLLECTION ACC	OUR
JPY		BOFAJPJX	FETALULL	LU143411016240553400 RBC FUNDS (LUX)-COLLECTION ACC	OUR
NOK		DABANO22	FETALULL	LU873411420016201600 RBC FUNDS (LUX)-COLLECTION ACC	SHA
SEK		NDEASESS	FETALULL	LU093410000288390022 RBC FUNDS (LUX)-COLLECTION ACC	SHA
USD		BOFAUS3N	FETALULL	LU223412420016206600 RBC FUNDS (LUX)-COLLECTION ACC	OUR



As a Payment Service Provider (PSP) under the requirements of WTR2, RBC I&TS will additionally require you to include the following information within your payment instructions, effective June 26, 2017.

SWIFT message type MT103 (Cash transfers)	For EU payments (where all PSPs are situated within the EU)	For non-EU payments (if one PSP is not situated within the EU)
Information related to the payer SWIFT field tag 50	account number	account number name address, or <ul style="list-style-type: none"> <li>▪ official Identity number or</li> <li>▪ client number or</li> <li>▪ date and place of birth</li> </ul>
Information related to the payee/beneficiary SWIFT field tag 59	account number name *	account number name *

\* The payment format should be adequate to enable an accuracy check on the payee/beneficiary

RBC I&TS continues to assess the impact of these requirements on RBC I&TS and our clients and will provide you with additional updates and operational details as appropriate.

If you need any further information, please contact your RBC I&TS representative.

RBC Investor & Treasury Services™ is a global brand name and is part of Royal Bank of Canada. RBC Investor & Treasury Services is a specialist provider of asset servicing, custody, payments and treasury services for financial and other institutional investors worldwide. RBC Investor & Treasury Services operates primarily through the following companies: Royal Bank of Canada, RBC Investor Services Trust and RBC Investor Services Bank S.A., and their branches and affiliates. RBC IS Bank S.A. is supervised in Luxembourg by the CSSF and the European Central Bank. In the UK, RBC I&TS operates through RBC Investor Services Trust, London Branch & Royal Bank of Canada, London Branch, authorised and regulated by the Office of the Superintendent of Financial Institutions of Canada. Authorised by the Prudential Regulation Authority. Subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request. Additionally, RBC I&TS UK operates through RBC Europe Limited, authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority. In Australia, RBC Investor Services Trust is authorized to carry on financial services business by the Australian Securities and Investments Commission under the AFSL (Australian Financial Services Licence) number 295018. In Singapore, RBC Investor Services Trust Singapore Limited (RISTS) is licensed by the Monetary Authority of Singapore (MAS) as a Licensed Trust Company under the Trust Companies Act and was approved by the MAS to act as a trustee of collective investment schemes authorized under S 286 of the Securities and Futures Act (SFA). RISTS is also a Capital Markets Services Licence Holder issued by the MAS under the SFA in connection with its activities of acting as a custodian. In Guernsey, RBC Offshore Fund Managers Limited is regulated by the Guernsey Financial Services Commission in the conduct of investment business. Registered Office: PO Box 246, Canada Court, St Peter Port, Guernsey, Channel Islands, GY1 3QE, registered company number 8494. In Jersey, RBC Fund Administration (CI) Limited is regulated by the Jersey Financial Services Commission in the conduct of fund services and trust company business in Jersey. Registered office: 19-21 Broad Street, St Helier, Jersey, Channel Islands, JE1 3PB. Registered company number 52624. In Hong Kong, RBC Investor Services Bank S.A. is a restricted license bank and is authorized to carry on certain banking business in Hong Kong by the Hong Kong Monetary Authority. RBC Investor Services Trust Hong Kong Limited is regulated by the Mandatory Provident Fund Schemes Authority as an approved trustee.

® / ™ Trademarks of Royal Bank of Canada. Used under licence.